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Kevin D. McCarthy

Date <u>9/9/03</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hole

Title:

The use of nitric oxide and a device in the therapeutic management of pathogens

in mammals

Serial No.

N/A

Filing Date:

Herewith

Examiner:

N/A

Information Disclosure Statement under 37 C.F.R. 1.98

Dear Sir:

Applicant submits the enclosed information disclosure statement that is being filed herewith with the original filing of this application. Accordingly, it is applicant's opinion that the examiner at the U.S. Patent and Trademark Office should consider these references in determining the patentability of this application.

Applicant is submitting this IDS withou any US references in accordance with the recent revisions for IDS but is submitting foreign reference(s) which is attached in compliance with its requirement under rule 1.56. If Applicant becomes aware of other relevant references that are not cumulative of the submitted references, Applicant will submit them.

Respectfully submitted

Kevin D. McCarthy Reg. No. 35,278

Roach, Brown, McCarthy & Gruber, P.C. 1620 Liberty Building - 420 Main Street Buffalo, New York 14202

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number N/A Filing Date Herewith INFORMATION DISCLOSURE First Named Inventor Hole et al. STATEMENT BY APPLICANT Art Unit N/A (Use as many sheets as necessary) Examiner Name N/A Attorney Docket Number 0-03-192 Sheet 1 of 1

| Examiner  | Pages, Columns, Lines, Where |  |  |  |   |
|-----------|------------------------------|--|--|--|---|
| Initials* | Cite<br>No.1                 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY                   | Name of Patentee or<br>Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
|           |                              | <sup>US-</sup> 6432077                                   | 08/13/2002                                       | Stenzler   |   |
| ·····     |                              | <sup>US-</sup> 5957880                                   | 09/28/1999                                       | Igo et al  |   |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) | Publication<br>Date    | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T |
|                       |                          |  | MM-DD-YYYY             |  |   |   |
|                       |                          | PCT/CA99/01123   | 06/02/2000             | Pulmonox   |   |   |
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| Examiner  |    | Date       |    |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nicind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.